



#3 12-13-01 p.c.
Attorney Docket No. 5627*6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: A. James Mixon

Group Art Unit:

Serial No.: To be Assigned

Examiner:

Filed: Concurrently Herewith

For: CARRIER:NUCLEIC ACIDS
COMPLEXES CONTAINING NUCLEIC ACIDS
ENCODING ANTI-ANGIOGENIC PEPTIDES
AND THEIR USE IN GENE THERAPY

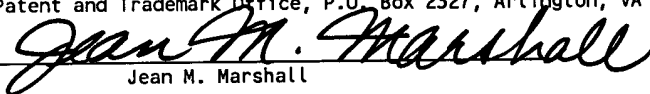
Commissioner for Patents
U.S. Patent and Trademark Office
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Date: November 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

By


Jean M. Marshall

DISCLOSURE STATEMENT (37 CFR 1.56 AND 1.97-1.99)

Sir:

Attached to this paper is a PTO Form 1449 listing references relating to the above-identified application.

It is respectfully requested that these references be considered and made of record.

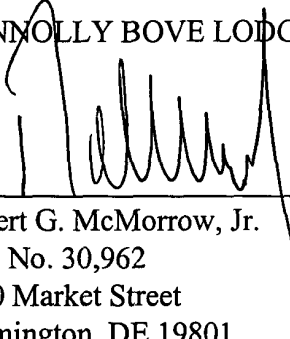
Because this disclosure is being filed prior to the first Office Action, no additional fee



should be due. However, if any further fee is required the Commissioner is authorized to charge such fee to Deposit Account No. 03-2775.

Respectfully submitted,

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Attachments: PTO-1449

Copies of References